WORKMAN / NYDEGGER CENTRAL FAX CENTER

NOV 2 3 2004

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FAX TRANSMISSION COVER SHEET

Date:

November 23, 2004

To:

United States Patent & Trademark Office

Fax:

(703) 872-9306

Phone:

From:

R. Burns Israelsen

Our File:

15436.162.1

YOU SHOULD RECEIVE PAGE(S), INCLUIDING THIS COVER SHEET. IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

Comments:

Please see attached.

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CERTIFICATE OF TR. Applicant(s): Durham, et al.	ANSMISSION BY FACS	IMILE (37 CFR 1.8)	Docket No. 15436.162.1
Application No. 10/764,218	Fillng Date 01/23/2004	Examiner Unknown	Group Art Unit 2127
		ED SORTING AND DISPLAY O	F CAPTURED
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I hereby certify that this	(ormation Disclosure Statement Identify type of correspondence)	
_	to the United States Patent a	and Trademark Office (Fax. No.	(703) 872-9306
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 15436.162.1			
In Re Application Of: Durham, et al.								
Application	n No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.		
10/764,2	218	01/23/2004	Unknown	022913	2127	9129		
Title: SYSTEMS AND METHODS FOR TIME BASED SORTING AND DISPLAY OF CAPTURED DATA EVENTS IN A MULTI-PROTOCOL COMMUNICATIONS SYSTEM RECEIVED								
			Address to: Commissioner for Pater P.O. Box 1450 Alexandria, VA 22313-14		-	L FAX CENTER — V 2 3 2004		
			37 CFR 1.97(b)					
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c)								
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement Is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
☐ the statement specified in 37 CFR 1.97(e);								
		C	OR					
C	the fee set forth in 37 CFR 1.17(p).							

TRANSMITTA	AL OF INFORMA			ATEMENT	Do	cket No.			
(Under 37 CFR 1.97(b) or 1.97(c))					111	36.162,1			
In Re Application	n: Durham, et al.				1				
Application No.	Filing Date	Examine	er	Customer No.	Group Art Unit	Confirmation No			
10/764,218	01/23/2004	Unknow	' n	022913	2127	9129			
Title: SYSTEMS	AND METHODS FC	OR TIME BASED S	ORTING AN	ND DISPLAY O	F CAPTURED				
	Title: SYSTEMS AND METHODS FOR TIME BASED SORTING AND DISPLAY OF CAPTURED DATA EVENTS IN A MULTI-PROTOCOL COMMUNICATIONS SYSTEM								
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mall in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) Cartificate of Mailing by First Class Mail									
Chris A. Jensen	rinted Name of Person Sign	-to- Continue	7		on Muiling Correspon				
			Lype	d or Printed Name a	of Person Mailing Cer	rificate			
R. BURNS ISRAELS Attorney for Applicate Registration No. 42,6	nt	t paying by	Dated: N	lovember <u>23</u>	_, 2004.				
Customer No. 022913									
cc:			•						

PATENT APPLICATION
Docket No: 15436.162.1

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE **CENTRAL FAX CENTER** NOV 2 3 2004 In re application of Durham, et al. Serial No.: 10/764,218 Art Unit 2127 Confirmation No.: 9129 Filed: January 23, 2004 For: SYSTEMS AND METHODS FOR TIME BASED SORTING AND DISPLAY OF CAPTURED DATA EVENTS IN A MULTI-PROTOCOL COMMUNICATIONS SYSTEM Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed <u>Unaccompanied by English Translation</u> <u>Under 37 C.F.R. § 1.98(a)(3)</u>

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED November <u>13</u>, 2004.

Respectfully submitted,

R. BURNS ISRAELSEN Attorney for Applicant Registration No. 42,685 Customer No. 022913

RBI: caj CAJ0000000768V001 Form PTO-1449

Applicant:

Durham, et al.

Serial No.: Filing Date:

10/764,218 01/23/2004

Att'y Docket No.: 15436.162.1

.: 15436.162.1 Group: 2127

Sheet 1 of 2

For:

SYSTEMS AND METHODS FOR TIME BASED SORTING

AND DISPLAY OF CAPTURED DATA EVENTS IN A MULTI-

PROTOCOL COMMUNICATIONS SYSTEM

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT CENTRAL FAX CENTER

Related U.S. Patent Applications

NOV 2 3 2004

Inventor
Durham, et al.

Application Number

<u>Filing Date</u> 01/23/2004

Durham, et al. Durham, et al.

10/764,095 10/764,059

01/23/2004

U.S. Patent Documents

Examiner Initial*

Document Number

Issuc Date

Name

NONE

Foreign Patent Documents

Examiner Initial*

Number

Publication
Date

Country or Patent Office

NONE

Other Documents
(including author, title, pertinent pages, etc.)

Examiner Initial*

NONE

Examiner:

Date Considered:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Durham, et al.

Serial No.: Filing Date:

10/764,218

01/23/2004

Att'y Docket No.: 15436.162.1

Group: 2127

Sheet 2 of 2

For:

SYSTEMS AND METHODS FOR TIME BASED SORTING

AND DISPLAY OF CAPTURED DATA EVENTS IN A MULTI-

PROTOCOL COMMUNICATIONS SYSTEM

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CAJ0000000767V001

Examiner:

Date Considered:

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